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April 8, 2002

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FAX NO. 703-308-7751

FROM: Mark H. Hopkins EXTENSION: 825
 PAGES (INCLUDING THIS PAGE): 6 CLIENT NO: 29171
 MATTER NO: 36823A

PLEASE CONFIRM RECEIPT: Yes COUNTRY CODE: US

MESSAGE: Pursuant to the Notice Concerning Patent Applications submitted to USPTO between 9/11/01 and 12/01/01 via the U.S. Postal Service, attached are papers indicating that this Express Mail Application was filed by Express Mail to BOX PCT on November 13, 2001. The USPS has been unable to confirm delivery. Attached are the transmittal and express mail documents to verify application was forwarded to the USPTO. Please advise us as to the status of this application so we may re-file if necessary including a petition under 37 CFR 1.10(e). Thank you.

Please contact Terre Roberison at (312) 312-474-6825 if you do not receive all of the pages in good condition.

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MARSHALL, GERSTEIN & BORUN
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Chicago, IL 60606

EXPRESS MAIL # EL 5664464455 US
MAILED NOVEMBER 13, 2001

Our Docket Number: 29171/36823A

Applicants: Nongjian Tao et al.

Title: Surface Plasmon Resonance Detection with High Angular Resolution and Fast Response Time

Status: National phase --371 Application claiming priority to
Provisional Serial No: 60/134,482

Our bank account #: 333 576 7 Harris Trust and Savings Bank, Chicago, IL

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Check # 68223 \$221 for filing fee

EL 564464455US **PATENT APPLICATION**
Attorney Docket No. 29171/36823A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Tao et al.)
U.S. National Phase of PCT/US00/13283)
filed 16 May 2000)
Filed: Herewith)
For: SURFACE PLASMON RESO-)
NANCE DETECTION WITH HIGH AN-)
GUITAR)
RESOLUTION AND FAST RESPONSE)
TIME)
Group Art Unit: Unassigned)
Examiner: Unassigned)

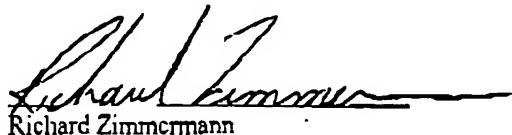
Attorney Docket No. 29171/36823A

CERTIFICATION UNDER 37 CFR 1.10

Box PCT
Commissioner for Patents
Washington, D.C. 20231

I hereby certify the attached items are being deposited with the United States Postal Service on November 13, 2001 in an envelope addressed to Box PCT, Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing No. EL 564 464 455 US:

- a. Transmittal letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. 371;
- b. Declaration from Inventors;
- c. PTO-FORM 1595 and Assignment from Inventors with check in the amount of \$40.00 for recordation fee;
- d. Preliminary Amendment dated November 13, 2001;
- e. Written Opinion;
- f. Return receipt post card;
- g. A check in the amount of \$221.00.


Richard Zimmermann

Date: November 13, 2001

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EON/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

THE COUNCIL OF THE CONFEDERATE STATES.

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

INTERNATIONAL APPLICATION NO.
PCT/US00/13283

INTERNATIONAL FILING DATE
16 May 2000

PRIORITY DATE CLAIMED
17 May 1999

TITLE OF INVENTION

SURFACE PLASMON RESONANCE DETECTION WITH HIGH ANGULAR RESOLUTION AND FAST RESPONSE TIME

APPLICANT(S) FOR DO/CO/US

Tao et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)) The submission must include items (5), (6), (9) and (24) indicated below.
4. The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
11. A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98

14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

15. A FIRST preliminary amendment.

16. A SECOND or SUBSEQUENT preliminary amendment.

17. A substitute specification.

18. A change of power of attorney and/or address letter.

19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

20. A second copy of the published international application under 35 U.S.C. 154(d)(4).

21. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

22. Certificate of Mailing by Express Mail

23. Other items or information:

Return receipt post card; Written Opinion; PTO-FORM 1595 and a check in the amount of \$40.00.

